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UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/903,415	07/11/2001	Chi Wu	LIGHT2140

25548
GRAY CARY WARE & FREIDENRICH
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SAN DIEGO, CA 92121-2189

CONFIRMATION NO. 8221

FORMALITIES LETTER



OC000000006470474

Date Mailed: 08/24/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

02/01/2002 SZEWDIE1 00000038 09903415

FILED UNDER 37 CFR 1.53(b)

01 FC:201 370.00 DP
02 FC:205 65.00 DP
03 FC:203 45.00 DP

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 355 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).
- Total additional claim fee(s) for this application is \$45.
 - \$45 for 5 total claims over 20.
- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 465.**

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE



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sector #

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

CHI WU et al

Serial No.: 09/903,415

Filed: July 11, 2001

For: METHOD OF FORMING AN OPTICAL COMPONENT

Group No.: 1731

Examiner: Unknown

Docket No. LIGHT2140

CERTIFICATION UNDER 37 CFR § 1.8

I hereby certify that the documents referred to as enclosed herein are being deposited with the United States Postal Service as first class mail on this date, 19 October 2001, in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231

19 October 2001 Tamara A. Meador
Date Signature

BOX MISSING PARTS
Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

**RESPONSE TO NOTICE TO FILE MISSING PARTS OF APPLICATION -
FILING DATE GRANTED**

This document is submitted in response to the NOTICE TO FILE MISSING PARTS OF APPLICATION, dated August 24, 2001.

Transmitted herewith is the executed combined Declaration/Power of Attorney document.

The Fee for this Response is calculated as follows:

For	Number Filed		Number Extra Claims	Large Entity Rate	Small Entity Rate	Calculations
Total Claims	25 - 20	=	5	x \$18.00	x \$9.00	\$45.00
Independent Claims	2 - 3	=		x \$80.00	x \$40.00	\$00.00
Basic Filing Fee				\$740.00	\$370.00	\$370.00
					TOTAL FEE	\$415.00

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Enclosed in the amount of \$480.00; which consists of \$415.00 for the filing fee and \$65.00 surcharge fee for missing parts of the application. The Commissioner is hereby authorized to charge any fees that may be associated with this communication, or credit any overpayment to Deposit Account No. 07-1895. A duplicate copy of this sheet is enclosed.

Respectfully submitted

Date:

19 October 2001

Terrance A. Meador
TERRANCE A. MEADOR
Reg. No. 30, 298

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